

**Connecticut State Board of Accountancy
Meeting Agenda**

Thursday, May 12, 2016 – 10:00 A.M.

School of Business, Graduate Business Learning Center;
University of Connecticut; 100 Constitution Plaza; Hartford, CT 06103
860-509-6179
sboa@ct.gov

OFFICE AND BOARD OPERATIONS AND ADMINISTRATION

1. **Action required** – Approve Minutes of the March 3, 2016 and April 5, 2016 Board Meeting.

INFORMATION REPORTS

2. Administrative Updates
3. Legislative and Budget Updates
4. Speaker from UCONN School of Business – Dean John Elliot
5. PhD Project-Bernard J. Milano, President KPMG Foundation & The PhD Project
6. NASBA ED/LEGAL Conference Overview- Stephanie Sheff
7. CGMA Designation
8. Peer Review Oversight Committee – Committee Report
9. SBA-12 Subcommittee
 - a. Supervising CPAs
 - b. Non-conforming Experience
10. Robert’s Rules on Quorum
11. Speaker from Department of Consumer Protection
12. AICPA Exam Press Release Material
13. Request for June 2016 Meeting
 - a. Potential Dates June 2nd or June 14th
 - b. no meeting in August
14. Enforcement Policy Consideration – Delay of Decision
15. Overall Statistics for Testing Window 2016 1st Quarter
16. Attendance to CT CPA Society’s May 31st Certificate Ceremony
17. CPE Audit 2016 Renewal - Suggestion of 6% (add 2015 settlements)
18. Candidate Care Report 2016 First Quarter

PUBLIC COMMENT - Opportunity for members of the public to address the Board

**EXAM AND LICENSING
OLD BUSINESS**

- 5. Action required – Industry, Government, or Self Employed Experience, and Other Applications –none**

NEW BUSINESS

- 6. Action Required - Industry, Government, or Self Employed Experience, and Other Applications**

Preeten Mistry - Initial CPA Certificate & Initial CPA License, Industry Experience

Michele Cohen - Initial CPA Certificate & Initial CPA License, Industry Experience

Tianyu Zhang - Initial CPA Certificate & Initial CPA License, Industry/Public Experience

- 7. REVIEW FIRM PERMITS FOR APPROVAL** – List Provided April & May
MacConnel & Dodd – Application tabled for additional information regarding firm ownership and name compliance at the January 2016 board meeting.
- 8. PCAOB Inspection Reports Received - None**

EXAMINATION AND CPE EXTENSION – None

OTHER BUSINESS

- 9. Enforcement Cases – Enforcement Overview**
- a. 2012-103764 Dr. C.J. Mozzochi vs. Leonard Belzer
 - b. 2014-14 Frederick & Veronica Scarfi vs. Sean Patrick McNamee
 - c. 2014-27 Connecticut State Board of Accountancy vs. Thomas Ragonese
 - d. 2015-78 Connecticut State Board of Accountancy vs. Li & Company
 - e. 2015-86 Connecticut State Board of Accountancy vs. William J. Fatula
 - f. 2015-87 Connecticut State Board of Accountancy vs. Walter J. Barry
 - g. 2015-93 Connecticut State Board of Accountancy vs. Susan A. Skehan
 - h. 2015-94 Connecticut State Board of Accountancy vs. Stephen W. Radau
 - i. 2015-97 Connecticut State Board of Accountancy vs. Sean Patrick McNamee
 - j. 2015-107 Connecticut State Board of Accountancy vs. Robert A. Gollnick
 - k. 2015-109 Connecticut State Board of Accountancy vs. Richard K. Zlotnick
 - l. 2016-83 Michael Nowacki vs. Jo-Ann Keating
 - m. 2016-86 Michael Nowacki vs. Edward A. Kangas
 - n. 2016-87 Michael Nowacki vs. Janet Lanaway
 - o. 2016-88 Michael Nowacki vs. Charles Jacobson
 - p. 2016-89 Michael Nowacki vs. William G. Parrett
 - q. 2016-5 Connecticut State Board of Accountancy vs. Rebecca S. Kresser
 - r. 2016-6 Connecticut State Board of Accountancy vs. Rebecca Mae Guptill
 - s. 2016-14 Connecticut State Board of Accountancy vs. Michael J. Kovaleski
 - t. 2016-27 Connecticut State Board of Accountancy vs. Margaret Mary Sullivan
 - u. 2016-34 Connecticut State Board of Accountancy vs. Kimberly Y. Ball
 - v. 2016-40 Connecticut State Board of Accountancy vs. John P. Prunotto
 - w. 2016-42 Connecticut State Board of Accountancy vs. John M. Cautillo
 - x. 2016-47 Connecticut State Board of Accountancy vs. Jennifer J. Arcuri
 - y. 2016-48 Connecticut State Board of Accountancy vs. Jeffrey L. Andrus
 - z. 2016-61 Connecticut State Board of Accountancy vs. Deborah L. Denno
 - aa. 2016-64 Connecticut State Board of Accountancy vs. David L. Lardie
 - bb. 2016-67 Connecticut State Board of Accountancy vs. Charles R. Bliss, Jr.
 - cc. 2016-69 Connecticut State Board of Accountancy vs. Charles E. Kessler

dd. 2016-70 Connecticut State Board of Accountancy vs. Cathleen A. Caranci
ee. 2016-76 Connecticut State Board of Accountancy vs. Amber Tucker
ff. 2016-96 Connecticut State Board of Accountancy vs. Stephen Marshall, II

PUBLIC COMMENT

Opportunity for members of the public to address the board.

Next scheduled meeting: Thursday, August 4, 2016 -10:00 AM- 2nd Floor, 30 Trinity Street, Hartford, CT